Under the Pape

Approved for use through 3/31/2007. OMB 0651-0021 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE ond to a collection of information unless it displays a valid OMB control number

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) **CONCERNING A SUBMISSION UNDER 35 U.S.C. 371**

ATTTORNEY'S DOCKET NUMBER 100694-1P US

INTERNATIONAL APPLICATION NO. PCT/SE03/00615 INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED 15 April 2003 (15.04.2003) 18 April 2002 (18.04.2002)

TITLE OF INVENTION HETEROCYCLIC COMPOUNDS APPLICANT(S) FOR DO/EO/US CHANG, Hui-Fang and PHILLIPS, Eifion Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: 1. X This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. The US has been elected (Article 31). A copy of the International Application as filed (35 U.S.C. 371(c)(2)) is attached hereto (required only if not communicated by the International Bureau). has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). Mark Bigging Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). X An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: 11. X An Information Disclosure Statement under 37 CFR 1.97 and 1.98. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. A preliminary amendment. An Application Data Sheet under 37 CFR 1.76. A substitute specification. A power of attorney and/or change of address letter. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1,821-1,825. A second copy of the published International Application under 35 U.S.C. 154(d)(4). 19 A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4). Other items or information: Abstract 20.

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Page 1 of 2

DT04 Rec'd PCT/PT0 1 5 OCT 2004

PTO-1390 (Rev. 10-2004)
Approved for use through 3/31/2007. OMB 0651-0021
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

U.S. APPLICATION N	O. (if known see 37 CFR 1	MINTERNATIONAL A	PPLICATION NO.	ATTORNEY'S DOC	KET NUMBER	
	IUODILI	PCT/SE03/00615		100694-1P US		
21. X The following fees are submitted:				CALCULATIONS	PTO USE ONLY	
BASIC NATIONAL FE	E (CFR 1.492(a)(1)–(5)):					
Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO\$1100.00						
International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO\$950.00						
International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO\$790.00						
International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4)						
International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) \$100.00 ENTER APPROPRIATE BASIC FEE AMOUNT =				\$ 1,110.00		
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$ 0.00		
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$	<u> </u>	
Total claims	10-20=	0	X \$18.00	\$ 0		
Independent claims	1 - 3 =	0	X \$88.00	\$ 0		
MULTIPLE DEPENDE	NT CLAIM(S) (if applicable)		+ \$300.00	\$		
TOTAL OF ABOVE CALCULATIONS =				\$ 1,110.00		
Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				\$		
SUBTOTAL =				\$ 1,110.00	_	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$ 0.00		
TOTAL NATIONAL FEE =				\$ 1,110.00		
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				\$ 40.00		
TOTAL FEES ENCLOSED =				\$ 1,150.00		
				Amount to be refunded:	\$	
				Amount to be	\$	
				charged:	<u> </u>	
a. A check in the amount of \$ to cover the above fees is enclosed.						
b. \square Please charge my Deposit Account No. $26-0166$ in the amount of $$1,150.00$ to cover the above fees. A duplicate copy of this sheet is enclosed.						
c. X The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>26-0166</u> . A duplicate copy of this sheet is enclosed.						
d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.						
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed						
and granted to restore the International Application to pending status.						
SEND ALL CORRESPONDENCE TO:						
Address associa	ited with Customer	Hill				
SIGNATURE '						
Kenneth F. Mitchell NAME						
	42,007					
REGISTRATION NUMBER October 15, 2004						